

## Privacy Policy

FACTS	WHAT DOES CLASSIC DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security number and employment information</li> <li>• Income, retirement asset, and non-retirement assets</li> <li>• Investment experience and risk tolerance</li> </ul> <p>When you are no longer our customer, we continue to operate in accordance with the principles as described in this notice.</p>	
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Classic chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does Classic share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	Yes
<b>For joint marketing with other financial companies</b>	Yes	Yes
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	Yes
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	Yes
<b>For our affiliates to market to you</b>	No	Yes
<b>For non-affiliates to market to you</b>  <b>*If your representative terminates his or her relationship with us and moves to another brokerage or investment advisory firm, we or your independent representative may disclose your personal information to the new firm, unless you instruct us not to by returning the completed Privacy Choices Notice form attached to this notice.</b>	Yes	Yes
Questions?	Call 701-364-9390 or go to <a href="http://www.classicassetmanagement.com">www.classicassetmanagement.com</a> .	

## Who we are

### Who is providing this notice?

Classic Asset Management, LLC and Classic, LLC (collectively, Classic)

## What we do

### How does Classic protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We restrict access to your personal information to those employees who need it to perform their job responsibilities.

### How does Classic collect my personal information?

We collect your personal information, for example, when you

- open an account or enter into investment advisory contract
- apply for insurance or deposit or withdraw money
- seek financial or tax advice

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

### Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Non-affiliates we share with can include companies such as vendors, and other service providers.

### Joint marketing

A formal agreement between non-affiliated financial companies that together market financial products or services to you. Our joint marketing partners include categories of companies such as insurance companies.

## Other important information

Classic Asset Management, LLC is a registered investment advisor and Classic, LLC is a registered broker-dealer, member of FINRA and SIPC.

## If you want to limit our sharing

### Contact us

If you prefer that we not share your nonpublic personal information (except in those circumstances described previously that are permitted or required by law), please contact the Privacy Coordinator:

**By telephone:** 800-950-4608

**On the web:** [www.classicassetmanagement.com](http://www.classicassetmanagement.com)

**By email:** [info@finstrat.com](mailto:info@finstrat.com)

**By mail:** Mark your choices below, fill in, and send the form to:

Classic Asset Management, LLC  
Privacy Coordinator, Compliance Department  
1220 28th Avenue N  
Fargo, ND 58102

**Unless we hear from you, we can begin sharing your information 30 days from the date of this letter. However, you can contact us at any time to limit our sharing.**

## Check your choices

**Your choices will apply to everyone on your account**

### Check any/all you want to limit:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- Do not allow your affiliates to use my personal information to market to me. *(I will receive a renewal notice for this use for marketing in 5 years.)*
- Do not share my personal information with non-affiliates to market their products and services to me.
- Limit the personal information about me that my financial advisor could disclose or take if he or she moves to another brokerage or investment advisory firm and terminates the relationship with Classic. However, I understand that Classic may disclose my name, address, telephone number, email and the account title of the accounts serviced by my advisor to the new brokerage or investment advisory firm as allowed under federal and certain state laws and the Protocol.

Your name

Your address

Account number

Mail to:

Classic Asset  
Management, LLC  
Privacy Coordinator  
Compliance Dept.  
1220 28th Ave. N  
Fargo, ND 58102